

BUREAU OF CUSTOMS

Professionalism Integrity Accountability



June 17, 2020



CUSTOMS MEMORANDUM CIRCULAR NO. 108-2020

To:

All Deputy Commissioners

All Service Directors

All District/Port Collectors All Chiefs, Export Division

All Others Concerned

SUBJECT: Export Authorization Guidelines and Forms

The Strategic Trade Management Office (STMO) will start accepting export authorization application from STMA- registered person on **01 July 2020** pursuant to Department Administrative Order No. 19-07. For this purpose, STMA registered persons may refer to **STMO Memorandum Circular (MC) No. 20-16**, or the "Guidelines on Export Authorization," for guidance on the details of export authorization application process and forms.

Due to COVID19 Pandemic, STMO will not require any export authorization application processing fee.

Memorandum Circular No. 20-27 "Guidelines on the Temporary Suspension of Administrative Penalty under Strategic Trade Management Act in light of the COVID Pandemic" issued by STMO, will temporarily suspend administrative penalties until it is lifted without prejudice, however, to the filing of criminal cases when necessary.

For your information and guidance.

REY LEONARDO B. GUERRERO

JUL 03 2071

BOC-01-03449





ANNOUNCEMENT START OF EXPORT AUTHORIZATION APPLICATION

Pursuant to Department Administrative Order 19-07, the Strategic Trade Management Office (STMO) shall start accepting export authorization applications from STMA-registered persons on **01 July 2020**.

STMO Memorandum Circular (MC) No. 20-16 or the *Guidelines on Export Authorization* provides guidance to all stakeholders on the details of export authorization application process and forms.

Due to the COVID19 pandemic, the STMO will not require any export authorization application processing fee.

The STMO also issued Memorandum Circular (MC) No. 20-27 or the *Guidelines on the Temporary Suspension of Administrative Penalty under the Strategic Trade Management Act in Light of the COVID Pandemic* temporarily suspending administrative penalties until it is lifted by the STMO. The suspension shall be without prejudice to filing of criminal cases, when necessary.

The processing of export authorization applications shall not delay any ongoing cross-border trade of strategic goods identified in the National Strategic Goods List.

For the guidance of all stakeholders.

Director, STMO





MEMORANDUM CIRCULAR NO. 20-26
Series of 2020

SUBJECT:

GUIDELINES ON EXPORT AUTHORIZATION

WHEREAS, according to Section 8 of Republic Act No. 10697, otherwise known as the Strategic Trade Management Act (STMA), the Strategic Trade Management Office (STMO) is created as a bureau under the administrative supervision of the Department of Trade and Industry (DTI) to serve as the executive and technical agency of the national government for the establishment of the management systems for the trade in strategic goods.

WHEREAS, under Section 3 of the STMA, the following activities shall be subject to an authorization issued by the STMO: export, import, transit, transshipment, re-export, reassignment, and the provision of related services.

WHEREAS, Section 4 of the STMA creates a National Strategic Goods List (NSGL), which describes with specificity the strategic goods subject to authorization. The NSGL is composed of three (3) annexes: Military Goods (Annex 1), Dual-Use Goods (Annex 2), and the Nationally Controlled Goods (Annex 3).

WHEREAS, Section 9(d) of the STMA vest the STMO with the power and function to issue or deny issuance of authorizations for the trade of strategic goods and the provision of related services.

WHEREAS, under the Department Administrative Order (DAO) 19-07, the STMO shall adopt a phased implementation of the activities under the STMA, starting with the registration of stakeholders and followed by export authorization.

NOW, THEREFORE, this Circular is hereby issued for the information, guidance, and compliance of all covered persons.

1. Start of Export Authorization

The STMO shall start accepting export authorization applications from STMA-registered persons on 01 July 2020.

2. Regulated Activities

Export refers to the following activities:

- 2.1. An actual shipment of strategic goods out of the Philippines (Fig. 1); or
- 2.2. Transmission of software and technology (Fig. 2) via:



- 2.2.1. Electronic media, including by fax, telephone, electronic mail, or any other electronic means to an ultimate destination outside the Philippines.
- 2.2.2. Non-electronic reassignments through face-to-face communication, personal demonstration, or handing over material or information to a foreign person, wherever located.

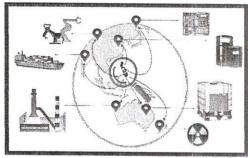


Fig. 1. Tangible Export

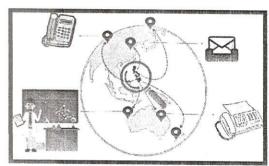


Fig. 2. Intangible Export

3. Covered Persons

All persons who engage or intend to engage in the export of strategic goods shall apply for authorization prior to undertaking the regulated activities referred to in paragraph 2 above.

4. Types of Export Authorization

Export authorization is the authorization issued by the STMO to a person before engaging in the export of strategic goods. There are three (3) types of authorizations:

- 4.1. **Individual authorization** is a license granted to one specific person or entity to engage in the export of strategic goods to <u>one end-user, consignee and covering one or more strategic goods</u>;
- 4.2. Global authorization is a type of license granted to one specific person or entity to engage in the export of strategic goods to <u>two or more specific endusers and/ or in one or more countries</u>. This type of authorization requires an Internal Compliance Program (ICP) before application.
- 4.3. **General authorization** is a license to export <u>specific strategic goods to destination countries under the conditions specified in the general authorization.</u> This type of authorization may be used by STMO-registered persons if they comply with two requirements: (1) notify the STMO before the use of such authorization, and (2) comply with the conditions set forth therein. The STMO will publish this type of authorization on its website.

Table 1. Types of Authorization

Types of Authorization	Individual	Global	General
No. of End- user/s	1	Two or more	Limited to specific countries upon compliance with certain conditions specified in the authorization
Requires ICP?	Recommended	Yes	No, but with a reporting requirement
Validity	up to 2 yrs.	up to 5 yrs.	Lifetime until revoked/ amended
Processing Time	30 calendar days	90 calendar days	N/A

5. Pre-Authorization Procedures

- 5.1. All persons who engage or intend to engage in the export of strategic goods shall register with the STMO before applying for an authorization, see *Guidelines on STMO Registration.*
- 5.2. Upon issuance of the Certificate of Registration by the STMO, the STMO shall advise the registered person on the next steps before applying for authorization. The advice will vary depending on the type of authorization the registered person will apply for.

5.2.1. For individual authorization:

- i The registered person shall self-classify each of their items as a strategic good or not. For step-by-step self-classification procedure, see Guidelines on Commodity Classification.
- For items not listed under the NSGL, the STMA requires registered persons to assess if their trade transactions fall under any of the conditions provided under Section 11 of the STMA or the End-Use/ Catch-all Controls, see Guidelines on End-use/ Catch-all Controls.
- If the item is an intangible strategic good, the STMO shall assess the registered person's Technology Control Plan (TCP) and conduct a TCP Pre-Audit Check. A registered person must obtain a satisfactory mark in the TCP Pre-Authorization Audit to proceed with the export authorization application process, see Guidelines on Technology Control Plan.

5.2.2. For Global Authorization:

i. If the registered person has an existing ICP for export control or strategic trade management, the STMO shall conduct an ICP Pre-



Authorization Audit to verify if the registered person's ICP adheres to the ICP elements required by the STMO. A registered person must obtain a satisfactory mark in the ICP Pre-Authorization Audit to proceed with the export authorization application process, see Guidelines on the Pre-Audit of Internal Compliance Program.

i. If the registered person has no existing ICP in place, the registered person may refer to the *Guidelines on Internal Compliance Program Set-up* published by the STMO. The registered person may also seek the assistance of the STMO Policy and Enterprise Relations Division (PERD) if it needs further help in setting-up its ICP.

6. Export Authorization Application Process

- 6.1. The registered person shall submit a standard-format application form (*Form A2*) and the authorized representative shall sign said application form.
- 6.2. The following relevant documents shall be appended to the application form and shall be submitted in English:
 - 6.2.1. Technical specifications of the item/ commodity, allowing the STMO to verify the classification of the commodity against the control list;
 - 6.2.2. Commodity classification form (Form A2-1) for individual authorization;
 - 6.2.3. Documents certifying the origin and acquisition of the strategic goods, when appropriate;
 - 6.2.4. Copies of relevant commercial documents, in particular, any sales contract, order confirmation, invoice, or dispatch note, if available;
 - 6.2.5. Original End-Use Statement/ End-User Certificate for individual authorization (Form A2-2);
 - 6.2.6. Copies of relevant license/s or business permits, if applicable;
 - 6.2.7. Copies of additional authorization such as transit, transshipment or import authorization, if applicable:
 - i Technology Control Plan, in case of technology transfer
 - i Network Security Plan, in case of intangible software transmission
 - 6.2.8. Proof of payment of the processing fee; and,
 - 6.2.9. Other documents that may be required by the STMO, which may be relevant to the review and assessment of the application for authorization.



6.3. The standard forms are available on the STMO webpage at https://www.dti.gov.ph/trabaho/strategic-trade-management/.

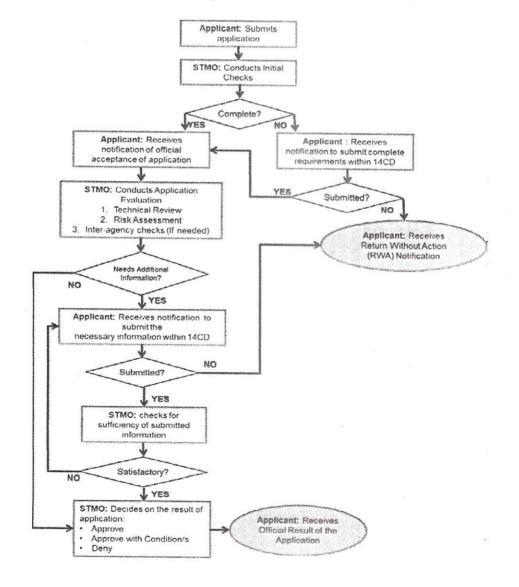
Table 2. List of Standard Forms for Export Authorization Application

Form Gode	Description
Form A2	Application for Export Authorization
Form A2-1	Commodity Classification Form
Form A2-2	End-Use Statement or End-User Certificate Template

6.4. The applicant shall submit the application form (both in Excel and scanned signed PDF) along with other documentary requirements to stmo_rad@dti.gov.ph.

7. Review of Export Authorization Application

7.1. The review process for export authorizations is illustrated below:





- 7.2. The STMO shall conduct initial screening for completeness of the documentary requirements within seven (7) calendar days following the date of submission of the completed application form with all the supplementary requirements.
- 7.3. For submitted applications with incomplete requirements, the STMO shall notify the applicant to provide the necessary information or documents within fourteen (14) calendar days. Failure to comply within the given deadline will result in a return of application without action.
- 7.4. During the review of the application, the STMO may request additional information from the applicant. The STMO may also ask other government agencies or subject matter experts to comment on specific aspects of the application. The time that elapses between the date the STMO requests the information, either from the applicant/ other government agencies/ subject matter experts, and the date such information is received shall not be counted in the processing timeframe.
- 7.5. The STMO may approve in whole or in part, approve with conditions, or deny the authorization application based on the risk assessment criteria provided under Rule IV, Section 6 of the STMA Implementing Rules and Regulations.
- 7.6. The STMO shall immediately notify the applicant on the decision of the application. For approved application, the STMO shall issue a paper and electronic authorization certificate bearing the authorization number, validation date, expiration date, and other relevant information. The issued authorization certificate also includes the general and specific conditions the authorization holder must comply with before, during, and after the export of strategic good/s.

8. Amendment of Issued Export Authorization

Export authorization holders may request the STMO to amend the authorization if any change occurs on the information submitted during the application process (e.g., addition or removal of covered strategic good or end-user). The authorization holder shall apply for amendment of the authorization using Form A2.

9. Responsibilities of Export Authorization Holder

9.1. The authorization holder shall ensure that customs broker or its authorized agent shall submit to the Bureau of Customs (BOC) the following documents before its departure: (1) a copy of the authorization, and (2) extract of the manifest of the carrier, see Supplementary Guidelines on the Use of Export Authorization.



- 9.2. Upon request of the STMO, the authorization holder shall submit a delivery verification certificate issued by the appropriate authority/ authorities of the destination country or any form of document that states the declared enduser received the strategic good.
- 9.3. The authorization holder shall keep all records of the transaction and/ or books of accounts, business and computer systems and all commercial and technical data related to the transaction for ten (10) years from the date of the completion of the transaction, including:
 - 9.3.1. The description of the strategic goods or related services.
 - 9.3.2. The quantity and the value of the strategic goods or value of the related service provided.
 - 9.3.3. The name and address of the parties in the transaction or activity.
 - 9.3.4. The end-use and end-user of the strategic goods or related services.
 - 9.3.5. The date of the transaction or activity.

10. Extension of the Validity of Export Authorization

An authorization may be extended provided that the applicant shall inform the STMO and submit an accomplished Form A2, not later than fifteen (15) calendar days before the expiration of the authorization.

11. Exemptions from Export Authorization Requirement

- 11.1. The STMA exempts the following transactions from the export authorization requirement:
 - 11.1.1. Temporary export of strategic goods by the government for the use of the Philippine military or police forces assigned outside of Philippine jurisdiction.
 - 11.1.2. Export of strategic goods made in connection with a military, peacekeeping, or government humanitarian mission.
 - 11.1.3. Export of strategic goods by the government in connection with law enforcement activities.
 - 11.1.4. Any other circumstances, as provided by the National Security Council-Strategic Trade Management Committee (NSC-STMCom), according to Section 7(f) of the STMA.



11.2. Any person covered by the exemptions may notify the STMO before shipment of strategic goods through a letter submitted to stmo_rad@dti.gov.ph. The STMO may also issue a Certificate of Exemption upon request.

12. Suspension of Export Authorization

- 12.1. The STMO may suspend an export authorization under any of the following circumstances:
 - 12.1.1. When an authorization holder fails to comply with the corrective actions stated in the warning letter issued by the STMO. Lifting the suspension of the authorization will only be possible once the application holder complies with the request for corrective action.
 - 12.1.2. The STMO may issue an order to stop a shipment of strategic goods or provision of related services when such is done in contravention of existing authorization conditions. It can also request the return or unloading of shipment at any port of call, even if the shipment is already *en route*.
 - 12.1.3. When the strategic goods are destined to countries experiencing a sharp deterioration in security or stability.
- 12.2. The STMO shall notify the authorization holder in writing within five (5) calendar days of the fact of suspension and the initial period of suspension. The STMO shall also notify the authorization holder in case there is an extension of suspension.

13. Grounds for Annulment, Revocation, Limitation or Modification of Export Authorization

The STMO shall notify the authorization holder in writing, within five (5) calendar days, of the annulment, revocation, limitation, or modification of an authorization. The table below shows the grounds or conditions for annulment, revocation, limitation, or modification of an authorization.

DECISION	CONDITION/S
13.1. Annulment	An authorization obtained under false pretenses, misleading representation, or concealment of material facts.
13.2. Revocation	 The authorization holder requests its termination. New facts emerge which, had they been known or existed at the time of the application, would have resulted in its denial. A substantive change to the information in the authorization occurs. The authorization holder fails to comply with the obligations, terms, or conditions of the authorization.

13.4. Modification	 In case of a change in the destination or end-user or for other reasons.
13.3. Limitation	New facts emerge which, had they been known or existed at the time of the application, would have resulted in its denial.
	 The authorization holder is held responsible for violating the STMA, its IRR, or other national security-related legal provision. The authorization holder fails to comply with the request of the STMO for additional information about the activities conducted under the authorization. The authorization is destroyed or lost. The natural person who is the authorization holder dies. The juridical person who is the authorization holder is dissolved. International sanctions are introduced or amended on a specific country. The STMO becomes aware of violations of other national security-related concerns.

This Circular shall take effect immediately. 15 May 2020, Makati City.

Recommending Approval:

ATTY. JANICE S. DIMAYACYAC

Director III

Approved by:

ATTY. LUIS M. CATIBAYAN Director IV





MEMORANDUM	CIRCULAR	NO.	20-27
Series of 2020			

SUBJECT:

GUIDELINE ON THE TEMPORARY SUSPENSION OF ADMINISTRATIVE PENALTY UNDER THE STRATEGIC MANAGEMENT ACT IN LIGHT OF THE COVID PANDEMIC

WHEREAS, it is a declared policy of the State to recognize the indispensable role of the private sector, encourage private enterprise, and provides incentives to needed investments;

WHEREAS, the Department of Trade and Industry (DTI) is committed to protect the rights and interests of the consumers and is committed to develop policies and programs aimed at sustaining the growth and development of the Philippine economy;

WHEREAS, Section 8 of Republic Act No. 10697, otherwise known as the Strategic Trade Management Act (STMA), states that the Strategic Trade Management Office (STMO) is created as a bureau, under the administrative supervision of the DTI, to serve as the executive and technical agency of the national government for the establishment of the management systems for the trade in strategic goods;

WHEREAS, Section 22 of the STMA vests the STMO with the power and function to impose administrative penalties on any person found to have committed violations under STMA. The imposition of such penalty shall be without prejudice to the filing of appropriate criminal charges against persons responsible for the violations;

WHEREAS, Department Administrative Order (DAO) 19-07 allows the STMO to adopt a phased implementation of the activities under the STMA, starting with regulating the export activities under the STMA;

WHEREAS, the STMO, as recognition to the deep impact of the coronavirus disease (COVID-19) pandemic to the Philippine economy and in support of struggling private enterprises dealing with the export of strategic goods as listed under National Strategic Goods List (NSGL), temporarily suspends the administrative penalties under the STMA, with the exception of issuance of Warning Letters/ Orders for Corrective Action;

NOW, THEREFORE, this Circular is hereby issued for the information, guidance, and compliance of all covered persons.



1. Start of Export Regulation

On **01 July 2020**, the STMO together with other government agencies (i.e. BOC, NBI, PCG and others) shall start the regulation on export shipments and activities of strategic goods in accordance with the STMA.

2. Administrative Penalties - Temporarily Suspended

Administrative penalties, except for Warning Letters/ Orders for Corrective Action, shall be temporarily suspended in light of the ongoing COVID-19 pandemic. The temporary suspension of administrative penalties shall be without prejudice to the filing of criminal cases, when necessary.

Below are the administrative penalties which are temporarily suspended:

- i. Limitation/ revocation/ annulment of authorization and registration
- ii. Fines
- iii. Cancellation/ suspension of authority to operate with SEC/DTI

Failure to adhere to Warning Letters/ Orders for Corrective Action issued by the STMO may give rise to the imposition of the above-mentioned temporarily suspended administrative penalties.

3. Effectivity

This Circular shall take effect immediately until lifted by the STMO.

Recommending Approval:

ATTY. JANICE S. DIMAYACYAC

Director III

Approved by:

ATTY. LUIS M. CATIBAYAN Director IV



Republic of the Philippines
Department of Trade and Industry
Strategic Trade Management Office



APPLICATION FOR INDIVIDUAL EXPORT AUTHORIZATION

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CMC NO. 168 - 2020 p.16

MASTER COPY FORM

Terms and Conditions:

- 1. The authorization shall be valid for the period stated in the STMA or as specified by the STMO.
- 2. The strategic good/s shall be shipped only to the designated consignee and/or end-user in the importing country. No re-export/re-transfer can be done without written authorization from competent authority of the importing country.
- 3. The applicant shall immediately inform the STMO if he or she becomes aware of information concerning the use of goods, in whole or in part, for the development, production, use, maintenance, stockpiling, detection, identification, or dissemination of weapons of mass destruction, means of their delivery, for military purposes in an embargoed destination, of for purposes of endangering national security.
- 4. The strategic goods or technology are not known, believed, suspected, or intended to be used in relation to a nuclear, chemical, or biological weapons program, or missiles capable of delivering these weapons.
- 5. The applicant assures that it shall keep in its principal place of business, for a period of ten (10) years from the date of the completion of the transaction, all records of the transaction and/ or books of account, business, and computer systems and all commercial and technical data related to the transaction including:
 - a. The description of the strategic good/s or related services;
 - b. The quantity and the value of the strategic good/s or value of the related service provided;
 - c. The name and address of the parties in the transaction or activity;
 - d. The end-use and end-user of the strategic goods or related services;
 - e. The date of the transaction or activity;
 - f. The particulars of the recipient and end-user of the goods, document, or technology;
 - g. The document issued by the relevant authority of the country from or through which the goods, document, or technology was exported allowing such goods, document, or technology to be exported or brought out of the country, transmitted acquired or disposed of;
 - h. The end-user certificate or statement;
 - i. The invoice issued in relation to the sale of the relevant goods, document, or technology;
 - j. The bill of lading or the airway bill; and,
 - k. The contract for the acquisition or disposal of the goods, document or technology to which the permit relates.
- 6. The STMO may require the applicant to submit post-delivery verification certificate issued by the appropriate authorities
- 7. The STMO may at any time require the records to be audited and verified by an authorized officer.
- 8. The authorization holder shall allow the records or documents of covered transactions to be inspected and copied by an authorized officer.
- 9. The applicant assures that the STMO shall be immediately informed should there be any changes in the particulars or

(G	0	r	ıf	0	ľ	n	n	6	

I have read and understood the terms and conditions of this application, I am authorized to sign this application; I certify the accuracy and completeness of the information provided, and I have not knowingly omitted information that could have an impact on the final decision.

Name:	Stamp (if applicable):	
Title:	1	
Signature:		
	Date:	



APPLICATION FOR EXPORT GLOBAL AUTHORIZATION

Applicant's Registration	n	Authorization Appli	ootion No.		Annual State
No.:		Authorization Appli (for official use only)	cation No.:		
Applicant's Name:		1		1	
Application Date:		Validity:			
(mm/dd/yyyy)		(for official use only)			
		ation Purpose	2000) V	Control of the State of the Sta	The state of the s
	New Amendment/	Modification Extension	on/ Renewal of	Authorization	THE PARTY OF THE P
	For Amendment/ Exter	nsion/ Renewal of Authorization			1
Previou	us Authorization No.:	Issuance Date: (mm/dd/yyyy)	Expi	iry Date: (mm	n/dd/vvvv)
					1
Attach	list of item/s with the correspon	nding end-users or coun	tries of de	stination	
	(Use separate sheet per item's NS have the same major characteristics, descriptive in	GI Code and append signature i	ner nago)		
Please respond to the fo	llowing question by marking the right an	SWEET If your appear is VEC along	ncategory may	be considered	as one entry.
additional sheet.	g queeten 25 marking the right and	swer. If your answer is 125, pies	ase provide	additional in	formation using
Are you aware or have	grounds for suspecting that the item/s	listed in this application is large	or man b -	Ι	T
intended, in their entires	ty or in part, for use in connection w	ith the development production	n handling		
operation, maintenance,	storage, detection, identification or diss	emination of chemical biological	il or nuclear	YES	□NO
weapons or the developm	nent, production, maintenance or storage	of means of their delivery?	i oi madidai		
Are you aware or have gr	ounds for suspecting that the items in gu	uestion are or may be intended to	be used in		
connection with military	end-use in a destination or by natural	or juridical persons subject to s	sanctions or	☐ YES	□NO
empargoes binding to the	Philippines?				
Are you aware or have	grounds for suspecting that the items in	n question are or may be intend	ded, in their	DVEC	CNO
entirety or in part, for the	purpose of endangering the national sec		7850	YES	□NO
The College		ary Requirements			I I I I I I I I I I I I I I I I I I I
	shall be appended to this application:				
	ecifications of the strategic item/ commo				-
2. Documents of	certifying the origin and acquisition of the	strategic goods, when appropria	ite;		1
3. Copies of rel	evant commercial documents, in particul	ar, any sales contract, order con	firmation, inv	voice, or disp	oatch note,
if available;	alayont liganas (a an husin-				
5. Copies of as	elevant license/s or business permits, as	s may be applicable;			
J. Copies of ac	dditional authorizations such as transit, tr	ansshipment or import authoriza	tion, if applic	:able:	
	Control Plan, in case of technology tran				
	curity Plan, in case of intangible software	e transmission.			į
	ment of the processing fee; and				
List of additional desurs	cument that STMO may require.				1
List of additional documer	nts and/or information which might facilita	ate or expedite the review of the	application:		ı
BOOK ON THE STREET, THE STREET	Tormo	nd Conditions:	the same to the same to the same to		
1 The authoriza	ation shall be valid for the period stated in		OTMO	The section of the se	-
2. The strategic	good/s shall be shipped only to the d	lesignated consigned and/or an	e STMO.	- 1	
export/re-trar	nsfer can be done without written authori	zation from competent authority	u-user in the	ing country	country. No re-
3. The applican	t shall immediately inform the STMO if he	or she becomes aware of inform	nation conce	orning the us	o of goods in
whole or in pa	art, for the development, production, use	, maintenance stockpiling detec	ction identific	cation or dis	semination of
weapons of r	nass destruction, means of their delivery	, for military purposes in an emb	argoed desti	nation of for	purposes of
endangering	national security.				
4. The strategic	goods or technology are not known, bel	ieved, suspected, or intended to	be used in r	elation to a r	nuclear,
chemical, or	biological weapons program, or missiles	capable of delivering these wear	oons.		

168 - 2020 p. 18 FORM A2 5. The applicant assures that it shall keep in its principal place of business, for a period of ten (10) years from the date of the completion of the transaction, all records of the transaction and/ or books of account, business, and computer systems and all commercial and technical data related to the transaction including: a. The description of the strategic good/s or related services; b. The quantity and the value of the strategic good/s or value of the related service provided; c. The name and address of the parties in the transaction or activity; d. The end-use and end-user of the strategic goods or related services; e. The date of the transaction or activity: f. The particulars of the recipient and end-user of the goods, document, or technology; g. The document issued by the relevant authority of the country from or through which the goods, document, or technology was exported allowing such goods, document, or technology to be exported or brought out of the country, transmitted acquired or disposed of; h. The end-user certificate or statement: i. The invoice issued in relation to the sale of the relevant goods, document, or technology; j. The bill of lading or the airway bill; and, k. The contract for the acquisition or disposal of the goods, document or technology to which the permit relates. 6. The STMO may require the applicant to submit post-delivery verification certificate issued by the appropriate authorities of the destination country after the export of strategic goods. 7. The STMO may at any time require the records to be audited and verified by an authorized officer. 8. The authorization holder shall allow the records or documents of covered transactions to be inspected and copied by an authorized officer. 9. The applicant assures that the STMO shall be informed immediately should there be any changes in the particulars or information submitted in the authorization application. Conforme: I have read and understood the terms and conditions of this application, I am authorized to sign this application; I certify the accuracy and completeness of the information provided, and I have not knowingly omitted information that could have an impact on the final decision. Stamp (if applicable): Signature: Date:

Name:

Title:



MASTER COPY

Republic of the Philippines

Department of Trade and Industry

Strategic Trade Management Office

COMMODITY CLASSIFICATION FORM

		PRC License Number	PRCL			and task to a		Signature:
THE PROPERTY OF THE PROPERTY O		Designation:	Desig					Complete Name:
ld have an impact on the final	ormation that coul	nowingly omitted info	ovided and have not kr	f the information pro	nd completeness of	nd certify to the accuracy a	of the company a	am the authorized technical personnel of the company and certify to the accuracy and completeness of the information provided and have not knowingly omitted information that could have an impact on the final letermination.
		e	Assessed and Certified by Authorized Technical Personnel	by Authorized T	ed and Certified	Assess		
		≱pability,	signature reduction ca	thermal or infrared	tempest capability, analytic functions? arking this box, indic	stic protection, hard points, hic techniques or use crypt h provided above and by m	ty) graphy, cryptograp graphy, cryptograp nation as that which	Special characteristics (e.g. radiation-hardening, ballistic protection, hard points, tempest capability, thermal or infrared signature reduction capability, surveillance or intelligence capability) Designed or modified to use cryptography, cryptographic techniques or use cryptanalytic functions? Service (provide comparable information as that which provided above and by marking this box, indicate it is for services versus hardware).
	form:	nobryje skoan					se/ military source	Developed with funding from defense/ military source?
considered that is not otherwise included in this	considered tha			no onal actorionol	s?	ations or military application	tandards/ specifica	Designed for military/ intelligence standards/ specifications or military applications?
neous information to be	Other miscella			he characteristic)	ow the item meets ti	ide further information on h	oves please provi	e the item. If you tick any of these t
used). formation, and	n in which it can be issued in the infrastructure in the infrastru	<u>re components/ systen</u> same major character	does, how it operates, the components/ system in which it can be used mmodities that have the same major characteristics, descriptive informations.	If the product (what it on Form. However, co	 m) Describe the use of the use	aircraft communication syster aircraft communication system (licable, enter 'N/A.' a separate submission of the C as one entry. I as one entry.	omponent used in an omponent used in an nation, and if not appered. Variants require may be considered that a maximum of the set. a maximum of the set.	*Summary of commodity or service (e.g., component used in an aircraft communication system). Describe the use of the product (what it does, how it operates, the components/ system in which it can be used). Note: (a) Complete all the necessary information, and if not applicable, enter 'N/A.' (b) Only one commodity may be entered. Variants require separate submission of the Commodity Classification Form. However, commodities that have the same major characteristics, descriptive information, and NSGL Category and Subcategory may be considered as one entry. (c) For commodity classification request, a maximum of three (3) classification requests per month per company/ entity is allowed.
Commodity type (select all that apply) End-item Major Component/ Part Minor Component/ Part Accessory/ Attachment Software Himware Service System Information or Technical Data Others (Please Specify)	pplicant's Registration No General Application/s of the ommodity (Intended End-Use	Applicant's Registration No.: General Application/s of the Commodity (Intended End-Use)	Model/ Version	Brand	NSGL Code (e.g. 2B352.d f.b. f)	Technical Specification	nd/or Service*	Applicant's Name: Description of the Commodity and/or Service* (Product name and generic description)
Date:	Filing Date:	Non-Strategic Goods Certificate	☐ Non-Strategi	n Application	Authorization Application	Commodity Classification Request	Commodity C	Purpose:

Please attach full technical specifications with this request.

For Official Use Only
Classification Verification Date:
STRAD Official Signature:

FOR COMMODITY CLASSIFICATION REQUEST OF NON-STMO-REGISTERED ENTITY ONLY

Name of Business:		1					
Contact Person's Complete	Personal Title/Prefix (e.g. Mr., Ms., Atty.)	First	Middle	dle	Last	(e.g.	Suffix (e.g. Sr., Jr., I, II)
Name:							
	House/Building No./Buidling Name	uidling Name	Street	Barangay	ау	City/Municipality	ipality
Complete Address:							
	Province	V	Region	Zip Code	le	Country	Y.
Designation	E-mail address:	ess:	Telephone No.:	Fax No.:	Social N	Social Media/Website: (if available)	favailable)

MASTER COPY

END-USE/ END-USER STATEMENT

I/ We (Party/ies involved),									
End-user details									
Company Name:									
Complete Company Address:									
Telephone/ Fax Number:				Website:	Γ				
Email Address:				vvensite.					
Type or Nature of Business:									
Consignee details (if different from	end-user)								
Company Name:	I								
Complete Company Address:									
Telephone/ Fax Number:				Website:	T				
Email Address:				vvensite.	1				
Type or Nature of Business:					,				
have requested	l.				Control of the Contro	The second second	White the Property Consults - B		
Exporter details									
Company Name:	T								
Company Address:				77.1					
to export	l				and the second				
Product details (attach separate she	eet/s if necessar	v)					1807,384,986		
		NSGL	HS or CN			Ougantitu 8	Total Value:		
Description of the Commodity an	d/or Service	Code	Code	Brand	Model	Quantity & Metric Unit	(USD/PHP)		
							(CCD// / //)		
which is intended for									
End-use details							1		
Consumption Production	- Innovement	ribution	Repair	Othe	er (Please specify)	T			
in (adress of final destination of the item,									
for (detailed end-use) *:	-								
*Provide specific detailed end-use of the goods	technology identif	ying the spec	offic operations	to be performed b	y the goods in supp	port of the end	-user's business		
ectivities END-USER CERTIFICATE									
						- Carrier Carrier Control Control			
I/ We declare that the strategic or	unlisted good	as listed a	ibove:						
1. shall be used exclusively for the	e stated end-	use;							
2. shall not be used in the develop	oment, produ	ction, han	idling, oper	ation, usage,	maintenance	e, storage,	detection,		
inventory, identification or prolif	eration of wea	apons of i	mass destr	uction and its	delivery syst	tems;			
3. shall not be sold/ transferred to	an individual	or entity	who is invo	lved directly	or indirectly,	or is know	n or		
suspected to be involved in the	developmen	t, product	tion, handli	ng, operation	, usage, mair	ntenance, :	storage,		
detection, inventory, identification or proliferation of weapons of mass destruction and its delivery systems									
 shall not be sold/ transferred to 	an individual	or entity	who is san	ctioned or res	stricted under	applicable	United		
Nations Security Council resolu	tions; and,						7		
5. shall be re-exported or sold to a	a third party in	accorda	nce with th	e originating/	supplying an	d receiving	g		
countries' export control or strate	tegic trade lav	ws, as ap	plicable.	00400 38560					
CERTIFICATION BY THE END-U				The second of th					
// We hereby certify that all facts s	tated herein a	are true a	nd correct	based on my	our own per	sonal kno	wledge and		
nothing contained herein is incons	sistent with m	y/ our ded	claration. W	e shall prom	ptly update th	ne exporte	should I/		
we know of any facts or intentions	set forth in th	nis statem	ent which	may occur af	ter the execu	tion of this	certificate.		
2. g					12				
AUTHORIZED PERSO	ONNEL NAM	E AND S	IGNATUR	E					
TITLE/ POSITION									
DATE (dd-mm-yy)					COM	IPANY ST	AMP		
	VALID FOR	R THE DUR	ATION OF T	HE LICENSE		. Furi OI.	SIVOR		
End-user details				The same of the same					

cmc No. 168-2020 p.22

Company's Logo



Company Name:							
Product details (attach separate she	eat/s if necessar	24)					
Description of the Commodity an	NSGL Code	HS or CN Code	Brand	Model	Quantity & Metric Unit	Total Value:	
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which is intended for					-		
End-use details							
Consumption Production	n Dist	ribution	Repair	□ Othe	her (Please specify)		
in (country of final destination):					(read opeciny)		
for (detailed end-use)*:		The second secon					
*Provide specific detailed end-use of the goods/ activities	technology identif	ying the spe	cific operations to	be performed by	y the goods in sup	port of the end	-user's business
Product details (attach separate she	et/s if necessar	v)					
Description of the Commodity and		NSGL Code	HS or CN Code	Brand	Model	Quantity &	Total Value:
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which is intended for							***************************************
End-use details			Control of the Contro				i de la companya di salah di s
Consumption Production	n Distr	ribution	Repair		(D) (6)		
n (country of final destination):		ibation	Птерип		er (Please specify)		
for (detailed end-use)*:							
Provide specific detailed end-use of the goods/	technology identify	ying the spe	cific operations to	be performed by	the goods in supp	ort of the end	-user's business
Product details (attach separate she	et/s if necessary	()					
Description of the Commodity and/or Service		NSGL Code	HS or CN Code	Brand	Model	Quantity & Metric Unit	Total Value: (USD/PHP)
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which is intended for End-use details							
Consumption Production	n Distri	ibution	Repair	Otha	- (Disease :6)		
n (country of final destination):			Linopan		r (Please specify)		
Or (detailed end-use)*:							
Provide specific detailed end-use of the goods/	technology identify	ing the spec	ific operations to	be performed by	the goods in supp	ort of the end-	user's business
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AUTHORIZED PERSO	NNEI MARS	= AND O	ICAIATIINT				
FITLE/ POSITION	MART NAME	= AND S	IGNATURE				
DATE (dd-mm-yy)					<i>p</i>		
1 3 3 7					COM	PANY STA	AMP